

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	) Attorney Docket No.: <b>UNIBAS0006</b>
Hans-Peter BECK et al	) Confirmation No.: 4926
Serial No.: 10/623,715	) Group Art Unit: 1634
Filed: July 22, 2003	) Examiner: Sarae L Bausch
For: PARALLEL ANALYSIS OF SINGLE	) Date: July 15, 2005
NUCLEOTIDE POLYMORPHISMS	)

**REQUEST FOR CORRECTED INVENTORSHIP UNDER 37 C.F.R. 1.48(a)**

**MAIL STOP PETITION**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

Applicant hereby requests that the inventor **Andreas Crameri** be added as an inventor in the above-captioned application. Omission of Mr. Crameri occurred without deceptive intent.

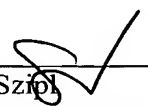
In support of this Request for Corrected Inventorship, attached please find (1) a statement from Andreas Crameri the error in inventorship occurred without deceptive intention on his part; (2) a new declaration from all of the inventors; (3) written consent from the assignee University of Basel; and (4) written consent from the assignee University of Applied Sciences Basel.

The required fee under § 1.17(i) is enclosed. If an additional fee is required, the  
Director is hereby authorized to charge the necessary fee to Deposit Account No.

50-1281.

Respectfully submitted,

*GRIFFIN & SZIPL, P.C.*

  
\_\_\_\_\_  
Joerg-Uwe Szapl  
Registration No. 31,799

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Suite PH-1  
2300 Ninth Street, South  
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**STATEMENT OF LACK OF DECEPTIVE INTENT**

**MAIL STOP:**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

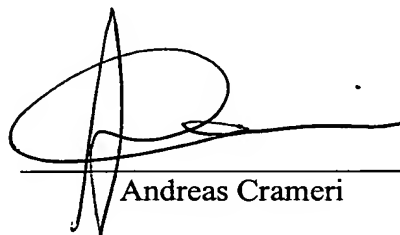
Sir:

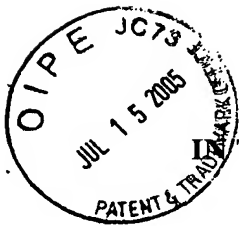
In accordance with 37 C.F.R. 1.48(a)(2), I hereby state that the inventorship error in the above-captioned application, namely the omission of myself as a inventor, occurred without deceptive intent on my part.

Respectfully submitted,

29.04.05

Date (Month/Day/Year)

  
\_\_\_\_\_  
Andreas Crameri



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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NUCLEOTIDE POLYMORPHISMS	)

**CONSENT TO CORRECTION OF INVENTORSHIP**

**MAIL STOP:**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

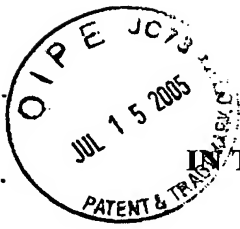
In accordance with 37 C.F.R. 1.48(a)(5), the University of Basel, Petersgraben 35, 4003, Basel, Switzerland, does hereby consent to the correction of inventorship in the above-captioned application, namely the addition of Andreas Crameri as an inventor. The University of Basel is co-owner (with the University of Applied Sciences, Basel) of this application, as recorded in the U. S. Patent and Trademark Office on January 12, 2004, at Reel 014883, Frame 0853. The below-signed has authority to act on behalf of the University of Basel in this matter.

Respectfully submitted,

Reel, 4/20/2005  
Date (Month/Day/Year)

G. R. Plattner  
Prof. Dr. Gian Reto PLATTNER

Technology Transfer Office, Authorized  
Representative of the Rektorat



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In re Patent Application of	) Attorney Docket No.: <b>UNIBAS0006</b>
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For: PARALLEL ANALYSIS OF SINGLE	)
NUCLEOTIDE POLYMORPHISMS	)

**CONSENT TO CORRECTION OF INVENTORSHIP**

**MAIL STOP:**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.48(a)(5), the University of Applied Sciences Basel, Grundenstrasse 40, 4132 Mutenz, Switzerland, does hereby consent to the correction of inventorship in the above-captioned application, namely the addition of Andreas Crameri as an inventor. The University of Applied Sciences Basel is co-owner (with the University of Basel) of this application, as recorded in the U. S. Patent and Trademark Office on January 12, 2004, at Reel 014883, Frame 0853. The below-signed has authority to act on behalf of the University of Applied Sciences Basel in this matter.

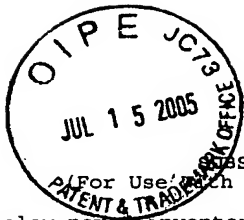
Respectfully submitted,

*5/26/2005*

Date (Month/Day/Year)

Prof. Dr. Bruno SPÄNI

Director of University of Applied  
Sciences Basel



STATEMENT OF INVENTOR'S DECLARATION FOR UNITED STATES PATENT APPLICATION  
(For Use With Both PCT and NonPCT Applications) (Atty. Docket: UNIBAS0006)

\* As a below named inventor, I declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled, (1)

PARALLEL ANALYSIS OF SINGLE NUCLEOTIDE POLYMORPHISMS

the specification of which is attached hereto unless the following box is checked: (2) ☒ [ X ] was filed on (3) JULY 22, 2003 (4) as U. S. Appl. SN or PCT International Appl. No. 10/623,715 and was amended on (5) \_\_\_\_\_ (if applicable).

I state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56. I claim priority benefits under 35 USC 119 of any United States or foreign application(s) for patent or inventor's certificate listed below and have also identified below any United States or foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior United States or Foreign Application(s)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED
(6) <u>60/397,556</u>	<u>UNITED STATES</u>	<u>JULY 22, 2002</u>	<input checked="" type="checkbox"/> [ X ] Yes <input type="checkbox"/> [ ] No
_____	_____	_____	<input type="checkbox"/> [ ] Yes <input type="checkbox"/> [ ] No
_____	_____	_____	<input type="checkbox"/> [ ] Yes <input type="checkbox"/> [ ] No
_____	_____	_____	<input type="checkbox"/> [ ] Yes <input type="checkbox"/> [ ] No

I claim the benefit under 35 USC 120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Appln. Serial No.

Filing Date

(7) \_\_\_\_\_ (Status: ☐ [ ] Patented ☐ [ ] Pending ☐ [ ] Abandoned)  
\_\_\_\_\_ (Status: ☐ [ ] Patented ☐ [ ] Pending ☐ [ ] Abandoned)

I appoint Joerg-Uwe Szipl, Reg. No. 31,799, W. Scott Ashton, Reg. No. 47,395, and Roy Roberts, Reg. No. 54,402, individually and jointly, my attorneys/agents with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and with the resulting patent, whose address is Griffin & Szipl, PC, Suite PH -1, 2300 9th Street, South, Arlington, Virginia 22204-2320, Telephone No. (703) 979-5700, Customer No. 24203

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(8) Full name of sole or first inventor HANS-PETER BECK  
Inventor's signature [Signature] Date 13.05.2005  
Residence \_\_\_\_\_ Citizenship SWISS

Post Office Address STEINBUEHLWEG 51, CH-4123 ALLSCHWIL, SWITZERLAND

(8) Full name of joint inventor INGRID FELGER  
Inventor's signature [Signature] Date 13.5.2005  
Residence \_\_\_\_\_ Citizenship SWISS

Post Office Address STEINBUEHLWEG 51, CH-4123 ALLSCHWIL, SWITZERLAND

(8) Full name of joint inventor THOMAS MORITZ  
Inventor's signature [Signature] Date 12.5.05  
Residence \_\_\_\_\_ Citizenship SWISS

Post Office Address BAHNHOFSTRASSE 11, CH-4147 AESCH, SWITZERLAND

- (1) Insert title of invention.
- (2) Check block for PCT application or U. S. application already on file, and complete items (3), (4) and (5). If PCT national phase entry application, insert international PCT application filing date, Serial No., and date of any Article 19 amendments.
- (6) Complete for foreign priority documents; add additional page if needed.
- (7) Complete for earlier US parent applications; add additional page if needed.
- (8) Complete ALL blanks. Attach second page for further joint inventors.

CAUTION: THIS FORM MAY BE USED ONLY IF ALL INVENTORS READ AND UNDERSTAND ENGLISH.

(8) Full name of joint inventor RICHARD BISCHOF

Inventor's signature R. Bischof Date 12.5.05

Residence \_\_\_\_\_

Citizenship SWISS

Post Office Address GRIENSTRASSE 76, CH-4055, BASEL, SWITZERLAND

(8) Full name of joint inventor ANDREAS CRAMERI

Inventor's signature A. Crameri Date 29.04.05

Residence \_\_\_\_\_

Citizenship SWISS

Post Office Address DELLENACKERWEG 27, CH-4146 HOCHWALD, SWITZERLAND

(8) Full name of joint inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

(8) Full name of joint inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

(8) Full name of joint inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

(8) Full name of joint inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

(8) Full name of joint inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

(8) Full name of joint inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

(8) Full name of joint inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

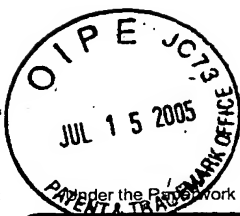
(8) Full name of joint inventor \_\_\_\_\_

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PROCESSING FEE**  
**Under 37 CFR 1.17(i)**  
**TRANSMITTAL**

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	10/623,715
Filing Date	July 22, 2003
First Named Inventor	Hans-Peter BECK et al.
Art Unit	1634
Examiner Name	Sarae L. Bausch
Attorney Docket Number	UNIBAS0006

Enclosed is a paper filed under 37 CFR 1.48(a) that requires a processing fee (37 CFR 1.17(i)).  
Payment of \$ 130.00 is enclosed.

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

**Payment of Fees** (small entity amounts are NOT available for the petition fees)

- ☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 501281 :  
☐ processing fee under 37 CFR 1.17(i) ☒ any deficiency of fees and credit of any overpayments  
Enclose a duplicative copy of this form for fee processing.

☐ Check in the amount of \$ 130.00 is enclosed.

☒ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

**Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 1808 for all,**  
**Except for § 1.221 papers (Fee Code 1803)**

For papers filed under:

- § 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error in small entity status.
- § 1.41 - for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications.
- § 1.48 - for correcting inventorship, except in provisional applications.
- § 1.52(d) - for processing a nonprovisional application filed with a specification in a language other than English.
- § 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).
- § 1.55 - for entry of late priority papers.
- § 1.99(e) - for processing a belated submission under § 1.99.
- § 1.103(b) - for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).
- § 1.103(c) - for requesting limited suspension of action, request for continued examination (§ 1.114).
- § 1.103(d) - for requesting deferred examination of an application.
- § 1.217 - for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.
- § 1.221 - for requesting voluntary publication or republication of an application. **Fee Code 1803**
- § 1.291(c)(5) - for processing a second or subsequent protest by the same real party in interest.
- § 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.
- § 3.81 - for a patent to issue to assignee, assignment submitted after payment of the issue fee.

  
Signature

Joerg-Uwe Szpił

Typed or printed name

July 15, 2005

Date

31,799

Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

07/18/2005 JADD01 00000049 10623715

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